

COPY OF PAPERS
ORIGINALLY FILED

Receipt #9
0300

PATENT APPLICATION

Attorney Docket No.: A-64259-2/RMS/AMS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Examiner:	Not Yet Known
)		
NOLAN)	Group Art Unit:	1631
)		
Serial No.: 10/057,467)		
)		
Filing Date: January 22, 2002)		
)		
For: METHODS FOR)		
SCREENING FOR)		
TRANSDOMINANT)		
EFFECTOR PEPTIDES)		
AND RNA MOLECULES)		
)		

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on April 11, 2002.

Signature: *Lisa Jeanetta*
Lisa Jeanetta

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

Appended hereto is a copy of the Official Filing Receipt for the above-identified patent application with the errors indicated in red.

S rial No.: 10/057,467
Filing Dat : January 22, 2002

In the Applicant's portion of the Filing Receipt, before the words "Menlo Park" please delete "Gary P. Nolan"; and insert – Garry P. Nolan –.

The Applicant(s) portion will then read as follows:

GARRY P. NOLAN, MENLO PARK, CA;


S. MICHAEL ROTHENBERG, PALO ALTO, CA;

Because the errors were caused by the Patent Office, it is believed that no fee is necessary. However, if this belief is in error, the Commissioner is authorized to charge any fee which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-64259-2/RMS/AMS).

Respectfully submitted.

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

Date: April 11, 2002

By: 
Anne M. Shyjan, Reg. No. 47,086
for Robin M. Silva, Reg. No. 38,304

Four Embarcadero Center - Suite 3400
San Francisco, California 94111-4187
Tel.: (415) 781-1989
Fax: (415) 398-3249

1080123



COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/057,467	01/22/2002	1631	370	A-64259- 2/RMS/AMS	4	7	2

CONFIRMATION NO. 9737

FILING RECEIPT



OC000000007625698

Robin M. Silva
FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187

Date Mailed: 03/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) GARRY P. NOLAN
~~Gary P. Nolan~~, Menlo Park, CA;
S. Michael Rothenberg, Palo Alto, CA;

Assignment For Published Patent Application

Board of Trustees of the Leland Stanford Junior University;
Rigel Pharmaceuticals, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/589,109 01/23/1996

Foreign Applications

If Required, Foreign Filing License Granted 03/12/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods for screening for transdominant effector peptides and RNA molecules

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).